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ADEM		Applica	tion Number	10/659	9,664	NS a Valid OMB COntrol number.
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	FORM ·	First Na	amed Inventor	Alfred	l Binggel	li
(to be used for all o	correspondence after initial	filing) Art Unit		1626		
		Examin	er Name			
Total Number of Pa	ges in This Submission	Attorne	y Docket Number	21322	2	
		ENCLOSUR	ES (Check all that	t apply)		
Fee Transmi	Attached	Drawing(s Licensing-	related Papers		to Group Appeal Cor of Appeals Appeal Cor	ance communication mmunication to Board and Interferences mmunication to Group Ice, Brief, Reply Brief)
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	onse to Missing Parts r 37 CFR 1.52 or 1.53					
	SIGNA	TURE OF APP	LICANT, ATTORN	EY, OR AG	SENT	
Firm or Individual name	Eileen M. Ebel					
Signature S	el. m.	re				·
Date	May 11, 2004					
	C	ERTIFICATE O	F TRANSMISSION	I/MAILING		
I hereby certify that t sufficient postage as the date shown below	first class mail in an en	eing facsimile trans velope addressed to	mitted to the USPTO or c: Commissioner for Par	deposited wi tents, P.O. Bo	th the United S ox 1450, Alexa	States Postal Service with Indria, VA 22313-1450 on
Typed or printed nan	Eileen M.	Ebel	**************************************			
						

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT APPLICATION



e Patent Application

Binggeli et al

Group:

1626

Serial No. 10/659,664, filed Sept. 10, 2003

Examiner:

For: INDOLYL DERIVATIVES

STATEMENT UNDER 37 CFR §1.97(e)

Nutley, New Jersey 07110 May 11, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I hereby certify:

That each item of information contained in the enclosed information disclosure X statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing date of this information disclosure statement.

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement.

Respectfully submitted,

Attorney for Applicant(s)

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142012



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application

Blnggeli et al Group: 21322

Serial No. 10/659,664, filed Sept. 10, 2003 Examiner:

For: INDOLYL DERIVATIVES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Nutley, New Jersey 07110 May 11, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants respectfully direct the Examiner's attention to the documents listed on enclosed Form PTO-1449.

Documents A10-A11, B21-B24, C26-C27 are listed on Form PTO-1449. Documents B21-B24, C26-C27 are enclosed

Enclosed is a Search Report, dated February 13, 2004, which was issued by the European Patent Office in the PCT/ EP 03/09819 application corresponding to the captioned application. The Search Report lists Documents A10-A11, B21-B24, C26-C27.

Consideration of all the documents cited on Form PTO-1449 is requested.

Serial No. 10/659,664

Filed: Sept. 10, 2003

Since the Information Disclosure Statement is submitted before the mailing of the first Office Action on the merits and/or because each item of information contained in the Information Disclosure Statement was cited in a communication for the first time from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, no fee is due.

Respectfully submitted,

Attorney for Applicant(s)

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Nutley, New Jersey 07110 Telephone: (973) 235-4391

Telefax: (973) 235-2363

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DEMARKE					First Name Inventor	Alfred I	Bingelli	
	(Use sev	erai shee	ets if necessa	ıry)	Group Art Unit	1626		
					Examiner Name			
Sheet	1	of	2		Attorney Docket Number	21322		
				U.S. PAT	TENT DOCUMENTS			
Examiner Initials'	Cite No.¹	Numb	J.S. Patent l	Mind Code (if known)	Name of Patentee or App Cited Documen		Date of Publication of Cited document MM-DD-YYYY	
	A10	5 81	1 439		Ogawa Masashi et a	1	09-22-1998	
	A11	6,06	9,156		Oku et al		05-30-2000	
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FOREIGN PATENT DOCUMENTS

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Examiner	Cite	Foreign Office ³	Patent Docum	Kind Code ⁵	Name of Patentee or	Date of Publication of Cited Document	Т°
Initials'	No.'	0	I Training of	(if known)	Applicant of Cited Document	MM-DD-YYYY	
	B21	WO 9	6 26207		Nissan Chemical Ind	08-29-1996	
				-	Ltd		
	B22	WO 0	2 08188		Merck & Co. Inc.	01-31-2002	
	B23	WO 9	7 27857		Bergman et al	08-07-1997	
	B24	WO 0	3 040114		Bristol Myers Co	05-15-2003	
Examiner Signature		Date Co	nsidered				

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. See attached Kinds of U.S. Patent Documents. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. If Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation or abstract is attached.

Substitute for form 1449A/PTO				Complete if Known		
I	NFORM	ATION	DISCLOSURE	Application Number	10/659,664	
	STATEMENT BY APPLICANT (Use several sheets if necessary)			Filing Date	Sept. 10, 2003	
				First Name Inventor	Alfred Bingelli	
				Group Art Unit	1626	
			Examiner Name			
Sheet	2	Of	2	Attorney Docket Number	21322	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials'	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
	C26	OKU, TERUO ET, Database CA, Abstract XP002265626	
	C27	HENKE B R ET AL, Bioorganic & Medicinal Chemistry	
		Letters, Vol 9 (23) (Dec. 1999)	
			
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F		DATE	
Examiner Signature		DATE CONSIDERED	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. Applicant is to place a check mark here if English language Translation or abstract is attached.

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